



## Kristen A. Irgens

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**Milwaukee**  
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### Practice Areas

Corporate  
Corporate Governance  
Investment Management  
Mergers & Acquisitions  
Private Equity  
Securities

### Admissions

Wisconsin

### Education

Juris Doctor, University of Wisconsin Law School, 2013, *cum laude*, Order of the Coif

Bachelor of Arts, DePauw University, 2009, *magna cum laude*, Phi Beta Kappa



Kristen Irgens is a shareholder in the Investment Management practice, where she helps clients navigate complex regulatory landscapes with confidence. She specializes in investment company and investment adviser regulation, SEC compliance and corporate governance. Kristen advises on fund registration, private equity and debt offerings and M&A transactions. She works closely with investment company boards of directors and trustees, leveraging her deep understanding of corporate governance to provide practical, actionable guidance.

Kristen is a steering committee member and facilitator of the Milwaukee Compliance Roundtable, a forum for industry and compliance professionals to share practical insights and experiences on compliance issues and regulatory developments. She values the opportunity to collaborate with industry peers to develop practical, tailored solutions through this forum. Kristen also contributes regularly to the team's quarterly update on legal and regulatory developments impacting the investment management industry.

Earlier in her career, Kristen advised public companies on corporate and regulatory governance matters, as well as securities offerings in the U.S. and abroad, honing her ability to navigate intricate legal matters across jurisdictions. Proficient in French, she is well-equipped to support clients with global interests.

### Representative Experience

Representation of investment companies and investment advisers in connection with SEC registration, periodic reporting obligations, ongoing compliance and regulatory examinations

Representation of fund sponsors in connection with new mutual fund offerings, fund mergers and liquidations

Advising investment company boards of directors regarding governance and oversight matters

Representation of financial services companies in connection with acquisitions and sales of investment management firms, investment companies and broker-dealers

Counseling clients in connection with SEC and FINRA examinations

Advising broker-dealer clients in connection with FINRA applications for approval of change in ownership, control or business operations

Advising on private securities equity offerings

Duluth Holdings Inc. - \$80 million initial public offering

## Activities

### SecureFutures

- Member, Board of Directors (2023 - present)
- Member, Governance Committee (2023 - present)
- Member, Investment Conference Committee (2023 - present)
- Member, Fund Development Committee (2019 - 2023)

### Irgens Partners, LLC

- Member, Board of Directors (2023-present)

## Honors

Recognized by *Best Lawyers* “Ones to Watch” (Corporate Governance and Compliance Law, 2021 - present)