



Carol A. Gehl

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Practice Areas

Investment Management
Securities

Admissions

Wisconsin

Education

Juris Doctor, University of
Wisconsin Law School,
1987

Bachelor of Arts, University
of Wisconsin-Milwaukee,
1981

Carol Gehl is a shareholder and member of the Investment Management Practice Group. Carol's practice is focused on investment management entities, including mutual funds, independent directors, hedge funds and investment advisers throughout the nation. During the last number of years, Carol has facilitated the organization of numerous mutual funds, hedge funds and investment advisers; assisted in SEC examinations of regulated entities; provided ongoing advice to mutual fund Boards of Directors; and assisted with several mergers of investment advisers and reorganizations of mutual funds.

Prior to joining Godfrey & Kahn in 1992, Carol was an attorney with the Securities and Exchange Commission in the Division of Investment Management's Chief Counsel's Office. During this time, Carol issued numerous no-action letters dealing with a variety of investment company and investment adviser matters; acted as a liaison between the Commission and its regional enforcement offices; and dealt with many registration issues.

Over the years, Carol has been a speaker at events devoted to investment management topics and issues. She is a regular contributor to Godfrey & Kahn's *Investment Management Legal and Regulatory Update*.

Representative Experience

Represented a number of start-up mutual funds in connection with SEC registration and compliance and provides on-going advice.

Represented several entities in the formation or restructuring of investment advisory activities.

Assisted in numerous SEC examinations of mutual funds and investment advisers.

Provided ongoing advice to mutual fund Boards of Directors regarding governance and SEC matters.

Established private investment partnerships, domestic and offshore.

Activities

University of Wisconsin-Milwaukee – Board of Visitors

Honors

Recognized by the *Wisconsin Law Journal* at their 2012 Women in the Law awards program

Honors

Recognized as a Women in the Law by *Wisconsin Law Journal* (2012)

Listed in *The Best Lawyers in America* (Corporate Law, Mutual Funds Law, 2007 - present)

Recognized as a *Wisconsin Super Lawyer* (2008, 2011 - 2018)

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