



Kristen A. Irgens

T 414.287.9308
kirgens@gklaw.com

Milwaukee
833 East Michigan Street
Suite 1800
Milwaukee, WI 53202

Practice Areas

Corporate Governance
Corporate Law
Investment Management
Mergers & Acquisitions
Private Equity
Securities
Startups & Venture Capital

Admissions

Wisconsin

Education

Juris Doctor, University of Wisconsin Law School, 2013, *cum laude*, Order of the Coif

Bachelor of Arts, DePauw University, 2009, *magna cum laude*, Phi Beta Kappa



Kristen Irgens is a Shareholder in the Investment Management practice. Kristen practices securities and corporate law - with particular emphasis on investment company and investment adviser regulation and SEC compliance and reporting. Kristen works with clients on a wide range of legal issues, including compliance matters, fund registration, corporate governance, mergers and acquisitions and general business matters. Kristen has experience working with investment company boards of directors/trustees, including independent directors/trustees. Kristen advises private company clients with respect to equity and debt financing and general corporate and corporate governance matters. Kristen also advises on private equity and debt offerings by preparing offering documents and analyzing federal and state securities law exemptions. Earlier in her career, Kristen advised public company clients with respect to initial and follow-on public securities offerings (both equity and debt) in the U.S. and overseas.

Kristen is a frequent contributor to Godfrey & Kahn's *Investment Management Legal & Regulatory Update*. Kristen is proficient in French.

Representative Experience

Representation of investment companies and investment advisers in connection with SEC registration, periodic reporting obligations, ongoing compliance and regulatory examinations.

Representation of fund sponsors in connection with new mutual fund offerings, fund mergers and liquidations.

Advising investment company boards of directors regarding governance and oversight matters.

Representation of financial services companies in connection with acquisitions and sales of investment management firms, investment companies and broker-dealers.

Counseling clients in connection with SEC and FINRA examinations.

Advising broker-dealer clients in connection with FINRA applications for approval of change in ownership, control or business operations.

Advising on private securities equity offerings

Duluth Holdings Inc.—\$80 million initial public offering

Activities

SecureFutures

- Member, Board of Directors (2023 - present)
- Member, Governance Committee (2023 - present)
- Member, Investment Conference Committee (2023 - present)
- Member, Fund Development Committee (2019 - 2023)

Irgens Partners, LLC

- Member, Board of Directors (2023-present)

Honors

Recognized by *Best Lawyers* “Ones to Watch” (Corporate Governance and Compliance Law, 2021 - present)