

## WHITE COLLAR DEFENSE & INVESTIGATIONS 2016 - THE YEAR IN REVIEW

The White Collar Defense & Investigations Practice Group at Godfrey & Kahn includes experienced attorneys and former prosecutors who are available on a moment's notice to assist clients with a wide variety of regulatory, civil and criminal matters. During 2016, our team worked on a diverse spectrum of government enforcement matters—only a few of which are recounted below. In these situations, and many others, we helped clients achieve positive outcomes, thereby reducing risk and increasing profitability. If you or your company could benefit from our services, please contact Dan Flaherty at 414.287.9370, Sean Bosack at 414.287.9431, Brian Spahn at 414.287.9314 or Eric Wilson at 608.284.2603.

- Provided ongoing advice to numerous clients about compliance with the **Foreign Corrupt Practices Act (FCPA)** and other anti-bribery statutes
- Assisted public company with response to federal investigation of alleged **immigration status fraud**
- Represented target of U.S. **Department of Justice antitrust investigation** involving pharmaceuticals industry
- Assisted client with investigation of **suspected fraud by Customs broker**
- Represented educational institution in responding to **fraud claims by former student**
- Represented accounting firm in response to investigation by **Public Company Accounting Oversight Board (PCAOB)** and **Securities and Exchange Commission (SEC)**
- Assisted client in investigation of alleged **kickback scheme** involving public officials accused of violating conflict-of-interest rules
- Responded to criminal investigation involving **mandatory reporting requirements for child abuse and neglect** under Wisconsin law
- Assisted financial institution with investigation of potential **elder abuse** by customer's trustee
- Investigated potential **improper payments to county government officials** in connection with public schools project
- Assisted company in responding to investigation by Occupational Safety and Health Administration (OSHA) of **employee death in the workplace**
- Represented client subpoenaed in a **secret John Doe proceeding**
- Defended health care provider in parallel federal criminal and civil cases charging **Medicare fraud** and violations of **False Claims Act**
- Advised manufacturer of electronic components regarding compliance with **Export Administration Regulations (EAR)** and **International Traffic in Arms Regulations (ITAR)**
- Assisted financial institution with response to federal **grand jury subpoena for customer records**
- Represented defendant in criminal case charging a **federal weapons violation**
- Assisted client in responding to state attorney general investigation of allegedly **deceptive marketing practices**
- Investigated **multi-million dollar kickback scheme** perpetrated by in-house lawyer
- Advised clients about compliance with regulations promulgated by the U.S. Treasury **Office of Foreign Assets Control (OFAC)**
- Assisted client with issues relating to **criminal charges against spouse**
- Defended client accused by Wisconsin Department of Financial Institutions of **securities law violations** in connection with solicitation of business capital
- Assisted client in reporting **embezzlement by bookkeeper** to federal law enforcement
- Represented senior executive of engineering firm in criminal investigation of alleged violations of the **False Claims Act** and the **Buy America Act**
- Monitored a **global anti-bribery hotline** for a multinational company
- Advised client on best practices for conducting its own internal investigation of suspected corporate **Code of Conduct violations**
- Investigated alleged **illegal reselling of prescription drugs** by health care company
- Assisted client in responding to federal investigation of **misappropriation of trade secrets** by former employee of competitor
- Represented individual target of federal criminal investigation of alleged **fraud against minority-owned and veteran-owned business programs** in connection with federal construction contracts
- Represented in-house attorney of federal contractor in parallel criminal and civil fraud investigations involving alleged violations of **False Claims Act** and **Anti-Kickback Statute**

# White Collar Defense & Investigations Team

## Primary Contacts

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## PRACTICE AREAS

### Business Practice Groups:

- Banking & Financial Institutions
- Business Finance, Bankruptcy & Restructuring
- Commercial Lending
- Corporate Governance
- Corporate Law
- Data Privacy & Cybersecurity
- Emerging Companies
- Employee Benefits
- Employee Stock Ownership Plans
- Energy Strategies
- Environmental Strategies
- Estate Planning, Estate & Trust Administration
- Health Care
- Indian Nations
- Intellectual Property
- International Trade Compliance
- Investment Management
- Labor, Employment & Immigration
- Media Law
- Mergers & Acquisitions
- Political Law
- Private Equity & Venture Capital
- Public Finance
- Real Estate
- Securities
- Tax

### Litigation Practice Groups:

- Antitrust
- Appellate
- Contract/Commercial Litigation
- Distribution, Franchise & Dealership
- ERISA Litigation
- Estate, Trust & Fiduciary Litigation
- Financial Services Litigation
- Insurance & Reinsurance
- Intellectual Property Litigation
- Labor & Employment Litigation
- Media & First Amendment Litigation
- Non-Competition & Trade Secrets
- Products Liability & Torts
- Real Estate & Construction Litigation
- Securities & Corporate Governance
- White Collar Defense & Investigations

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